

**COMBINED DECLARATION AND POWER OF ATTORNEY**(Original, Design, National Stage of PCT  
or CIP Application)ATTORNEY'S DOCKET NO.  
30644

As a below named inventor I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A SYSTEM AND METHOD FOR MONITORING AND ANALYZING DATA TRENDS  
OF INTEREST WITHIN AN ORGANIZATION**

the specification of which: (complete (a), (b) or (c) for type of application)

**REGULAR OR DESIGN APPLICATION**(a) ☒ is attached hereto.(b) ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).**PCT FILED APPLICATION ENTERING NATIONAL PHASE**(c) ☐ was described and claimed in International Application No. \_\_\_\_\_ filed \_\_\_\_\_ and was amended on \_\_\_\_\_ (if any).**ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

[ ] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97.

**PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: (complete (d) or (e))

(d) ☒ no such applications have been filed.(e) ☐ such applications have been filed as follows**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS PRIOR TO SAID APPLICATION**

Country	Application No.	Date of Filing	Date of Issue	Priority Claimed
				<input type="checkbox"/> YES <input type="checkbox"/> NO
				<input type="checkbox"/> YES <input type="checkbox"/> NO
				<input type="checkbox"/> YES <input type="checkbox"/> NO

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS PRIOR TO SAID APPLICATION**


**PROVISIONAL**

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States application(s) listed below:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
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**CONTINUATION-IN-PART**

(Complete This Part Only If This Is A Continuation-In-Part Application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a), which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
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**POWER OF ATTORNEY**

As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Robert D. Hovey	19,223	Thomas B. Luebbering	37,874
Warren N. Williams	19,156	Andrew G. Colombo	40,565
Stephen D. Timmons	26,513	Scott R. Brown	40,535
John M. Collins	26,262	Tracy L. Bornman	42,347
Thomas H. Van Hoozer	32,761	Tracey S. Truitt	43,205

**SEND CORRESPONDENCE TO:**

THOMAS B. LUEBBERING  
HOVEY, WILLIAMS, TIMMONS & COLLINS  
2405 Grand, Suite 400  
Kansas City, Missouri 64108

**DIRECT TELEPHONE CALLS TO:**

(816) 474-9050

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor		SUSAN R. SANTOS	
Inventor's Signature		<i>Susan R. Santos</i>	
Date	12-15-00	Country of Citizenship	United States of America
Residence		214 Augur Avenue, Ft. Leavenworth, KS 66027	
Post Office Address		214 Augur Avenue, Ft. Leavenworth, KS 66027	
Full name of second joint inventor, if any		STEPHEN D. SIMON	
Inventor's Signature		<i>Stephen D. Simon</i>	
Date	12-15-00	Country of Citizenship	United States of America
Residence		17710 W. 70th Street, Shawnee Mission, KS 66217	
Post Office Address		17710 W. 70th Street, Shawnee Mission, KS 66217	



Applicant or Patentee: SUSAN R. SANTOS, STEPHEN D. SIMON, and KAREN COX	Attorney's Docket No.: 30644
Serial or Patent No.:	
Filed or issued:	
For: A SYSTEM AND METHOD FOR MONITORING AND ANALYZING DATA TRENDS OF INTEREST WITHIN AN ORGANIZATION	

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) AND 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: THE CHILDREN'S MERCY HOSPITAL

ADDRESS OF ORGANIZATION: 2401 Gillham Road, Kansas City, MO 64108

TYPE OF ORGANIZATION:

- ☒ University or other institution of Higher Education
- ☐ Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational Under the Statute of State of the United States of America;
- Name of State \_\_\_\_\_, Citation of Statute \_\_\_\_\_
- ☐ Would qualify as Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3) if located in the United States of America
- ☐ Would qualify as Nonprofit Scientific or Educational under Statute of the United States of America if located in the United States of America; Name of State \_\_\_\_\_, Citation of Statute \_\_\_\_\_

I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled A SYSTEM AND METHOD FOR MONITORING AND ANALYZING WORKPLACE ILLNESSES AND INJURIES by Inventor(s) SUSAN R. SANTOS, STEPHEN D. SIMON, and KAREN COX described in

☒ the specification filed herewith

☐ application serial no. , filed

☐ patent no. , issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\*, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

**\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)**

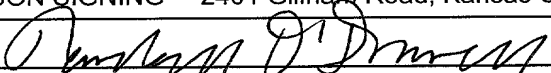
FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing therefrom, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Randall O'Donnell	
TITLE OF PERSON OTHER THAN OWNER President/CEO	
ADDRESS OF PERSON SIGNING 2401 Gillham Road, Kansas City, MO 64108	
SIGNATURE 	DATE 12/18/00

## ASSIGNMENT

WHEREAS, WE, **SUSAN R. SANTOS** of 214 Augur Avenue, Ft. Leavenworth, KS 66027, **STEPHEN D. SIMON** of 17710 W. 70th Street, Shawnee Mission, KS 66217, and **KAREN COX** of 1287 W. 72nd, Kansas City, MO 64114, have jointly invented certain new and useful improvements in

### **A SYSTEM AND METHOD FOR MONITORING AND ANALYZING DATA TRENDS OF INTEREST WITHIN AN ORGANIZATION**

for which we are about to make application for Letters Patent of the United States; and

WHEREAS, **THE CHILDREN'S MERCY HOSPITAL**, a Corporation duly organized under the laws of the State of **MISSOURI**, and having its principal place of business at 2401 Gillham Road, Kansas City, MO 64108, is desirous of acquiring an interest in, to and under said invention, said application and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto.

NOW, THEREFORE, to all whom it may concern be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, WE, **SUSAN R. SANTOS**, **STEPHEN D. SIMON**, and **KAREN COX**, as co-inventors, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said **THE CHILDREN'S MERCY HOSPITAL**, the full and exclusive right, title and interest, throughout the world, in, to and under the following:

- (a) said invention as fully set forth and described in the specification prepared, and executed by each of such as co-inventors on even date herewith preparatory to obtaining Letters Patent of the United States therefor;
- (b) said application;
- (c) any and all refilings and continuations of said application;
- (d) any and all Letters Patent of the United States of America which may issue from said application, refilings, division and continuations;
- (e) any and all reissues of said Letters Patent of the United States of America;
- (f) any and all applications for Letters Patent upon said inventing which may hereafter be filed in any and all countries foreign to the United States of America;

(g) any and all refilings, divisions and continuations of said foreign-filed applications;

(h) any and all Letters Patent of countries foreign to the United States of America which may issue from the said foreign-filed applications, refilings, divisions and continuations; and

(i) any and all extension of, and additions to, said Letters Patent of countries foreign to the United States of America.

ALL of the above shall be held and enjoyed by said **THE CHILDREN'S MERCY HOSPITAL** for its own use and behoof, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent in accordance with this Assignment.

Executed this 15<sup>th</sup> day of December, 2000.

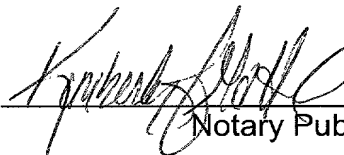
  
\_\_\_\_\_  
SUSAN R. SANTOS

  
\_\_\_\_\_  
STEPHEN D. SIMON

  
\_\_\_\_\_  
KAREN COX

STATE OF MO )  
 ) SS.  
COUNTY OF Jackson )

On this 15<sup>th</sup> day of December, 2000, before me personally appeared  
**SUSAN R. SANTOS, STEPHEN D. SIMON and KAREN COX**, to me known to be the  
persons described in and who executed the foregoing instrument, and they duly  
acknowledged to me that they executed the same for the uses and purposes therein  
set forth.

  
\_\_\_\_\_  
Notary Public

My Commission Expires:

**KIMBERLY JO MATTOX**  
**Notary Public - State of Missouri**  
**Commissioned in Jackson County**  
**My Commission Expires May 24, 2001**